

# Quality Central

*Sharpening the focus on sound science and quality practices*

UNIVERSITY OF MINNESOTA

College of Veterinary Medicine

# Show me the data: A strategy for supporting sound science using research QA best practices.

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National Data Integrity Conference

University of Colorado

Denver, Colorado

June 2-3, 2016

# Show me the data

QA: A Primer

A Strategy

QA for Basic Research Data

A Better Strategy

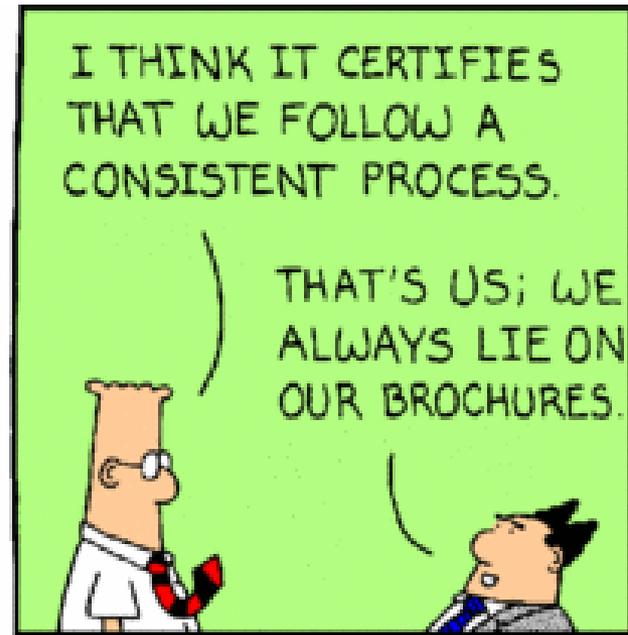
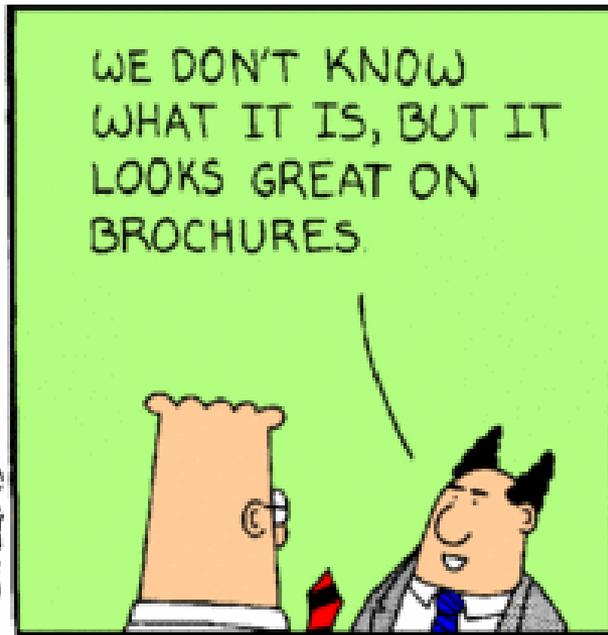
Coordinated, Collaborative Approach to the Data

Dilemma: It is going to 'take a village'

Conclusions



# QA: A Primer



A process that *provides evidence* that the work performed is accurate (fits expected specifications), reliable, and *can be reconstructed* if necessary. Along the way, processes are improved.

# Quality Assurance



The maintenance of a **desired level of quality** in a service or product, especially by means of **attention to every stage of the process** of delivery or production.

Quality Assurance  
Management Systems are  
designed to:

Improve and maintain the precision and accuracy  
of a **product**





and establish routine performance

A green metal pole with a white lamp fixture at the top holds a red banner with a yellow border. The banner features the text 'UNIVERSITY OF MINNESOTA' in yellow, all-caps serif font, and 'Driven to Discover™' in white, italicized sans-serif font below it. In the background, a large, classical-style brick building with a portico of white columns is visible under a clear blue sky. Some trees with autumn-colored leaves are in the foreground and middle ground.

UNIVERSITY  
OF MINNESOTA  
*Driven to Discover™*

The **products**  
scientists  
produce are  
research  
data and  
inference



and the next generation of scientists



Quality Assurance support is rarely found in  
academic basic research settings

# Scientific QA: The Translational Research Spectrum



## DEVELOPMENTAL PIPELINE



← **Not Regulated** →

GLP

GCP

GMP

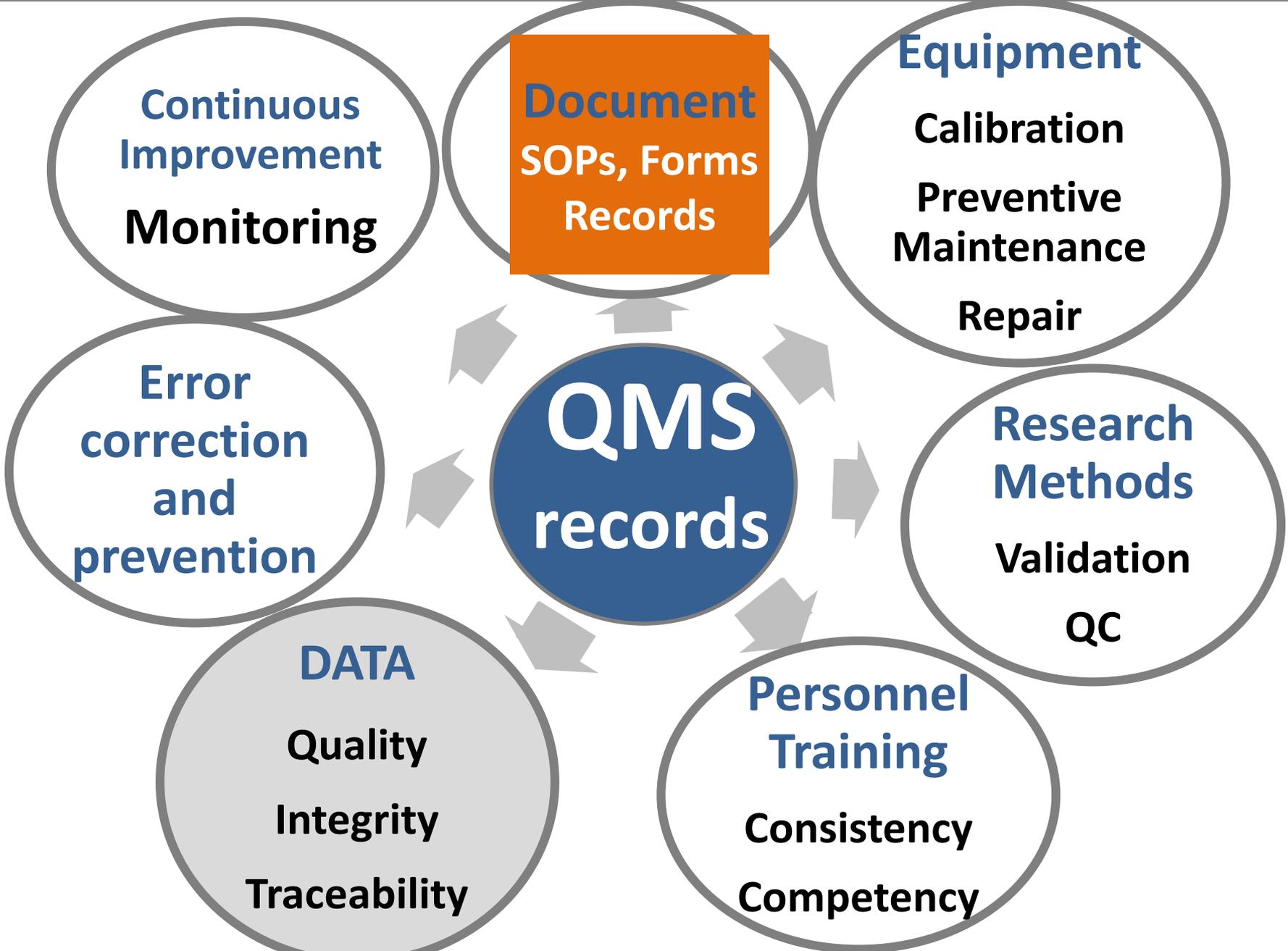
**Voluntary QA here?**

← 21 CFR Part 11 →

Study Based

Process Based

# Quality Management Systems Generate Evidence



# Quality Assurance Elements Influence Research Reliability



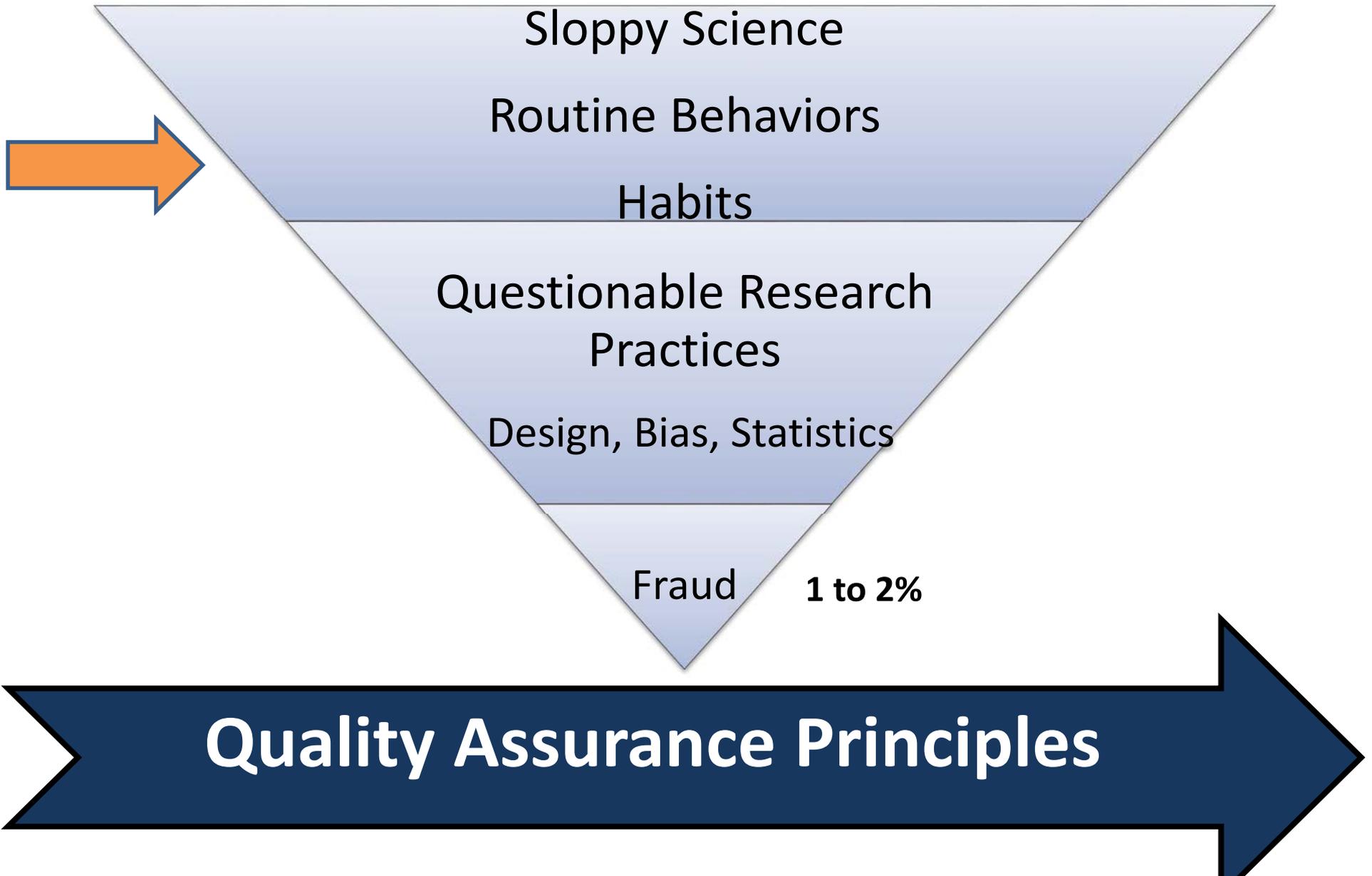
and mitigate the **RISK** associated with  
incomplete records

# We may not understand QA, but the public does.



- ✓ Demonstrate Credible **Evidence** of Reliability and Value
- ✓ **Data** Inspire **Trust and Confidence** in the product

# Threats to sound science



**Commentary**  
*Nature* 435 (9 June 2005)  
**Scientists behaving badly**

Brian C. Martinson, Melissa S. Anderson, & Raymond de Vries

**To protect the integrity of science, we must look beyond falsification, fabrication and plagiarism, to a *wider range of questionable research practices*, argue Martinson, Anderson and de Vries.**



**“our evidence suggests that mundane, ‘regular’ misbehaviors present greater threats to the scientific enterprise than those caused by high-profile misconduct cases such as fraud”**

# Self Reporting

Percentage of scientist who say that they have engaged in the behavior within the previous three years (n= 3247)

Behaviors	All early/ mid career
Changing design, methodology or results of a study in response to pressure from a funding group.	<b>12.5</b>
Using inadequate or inappropriate research design	<b>13.5</b>
Dropping observations or data points from analyses based on a gut feeling that they were inaccurate	<b>15.3</b>
<b>Inadequate record keeping related to research projects *</b> *low hanging fruit ?	<b>27.5</b>

# On the reproducibility of science: unique identification of research resources in the biomedical literature

Vasilevsky et. 2013; PeerJ1:el 48;DOI10.7717

... 'the inability to *uniquely identify* research resources, such as antibodies and model organisms, makes it *difficult or impossible to reproduce experiments even where the science is otherwise sound*'

... 'we designed an experiment to ascertain the "identifiability" of research resources in the biomedical literature'

**54% of resources are not uniquely identifiable  
in publications**



**‘...identifiability is a serious problem  
for reproducibility’**

CMD # 16

# LABORATORY NOTEBOOK

What we are talking about here is  
record keeping

**QA is all about record Keeping**

# Credible Evidence for Research Quality



Do we fully support our scientists and their ability to reconstruct their data?

# This is not a new idea

A call for the development of “ Good Research Practices” (GRP) Guidelines

Glick JL et al. Accountability in Research. Vol 2, p 231-235. **1993.**

Good Research Practices: A commonsense approach to ensuring quality in research facilities.

Herman DR et al. Quality Assurance Good Practice, Regulation and Law. 3:4, 355-359, **1994**

Proposal for a National Quality Standard for Biomedical Research

Calabrese R; Quality Digest.com/print/4410.

**The Role of Quality in today’s Research University**

**Bens, C. Quality Assurance Good Practice, Regulation and Law. 3:3, 248-253, 1994**

Quality: an old solution to new discovery dilemmas

Volsen SG et al. Drug Discovery Today, 9:21. 2004

# POC: Individual Faculty Research Model: GRP

Individual  
Faculty  
Research  
Projects

The diagram features an orange circle on the left containing the text 'Individual Faculty Research Projects'. An orange arrow with a blue outline points from this circle to a square box on the right. The square box has a dark red border and four dark red corner brackets. Inside the square is a dark red circle with the white text 'GRP'. To the right of the square box is a list of tasks: 'SOPS and RECORDS: Equipment management', 'Reagent management', 'Notebook review', 'Method validation', 'Training', and 'Audit'. Below the square box is a white rectangular box with a dark blue border containing text about the grant budget and project status.

**Research Grant Budget**  
**QA Justification**  
**Focus on Data Rigor**

**Project Based, 2 projects funded**  
**2 more projects pending**

SOPS and RECORDS:  
Equipment  
management

Reagent  
management

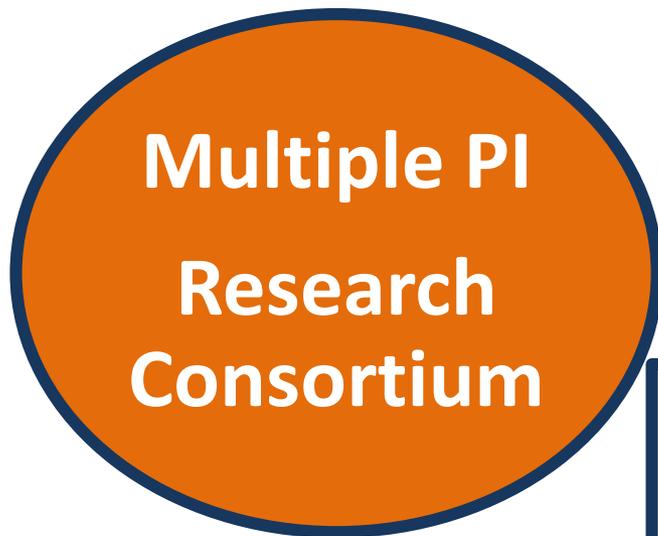
Notebook review

Method validation

Training

Audit

# Research Consortium Model



QA funding pool  
QA cost included in grant  
Focus on data reliability and  
reconstruction

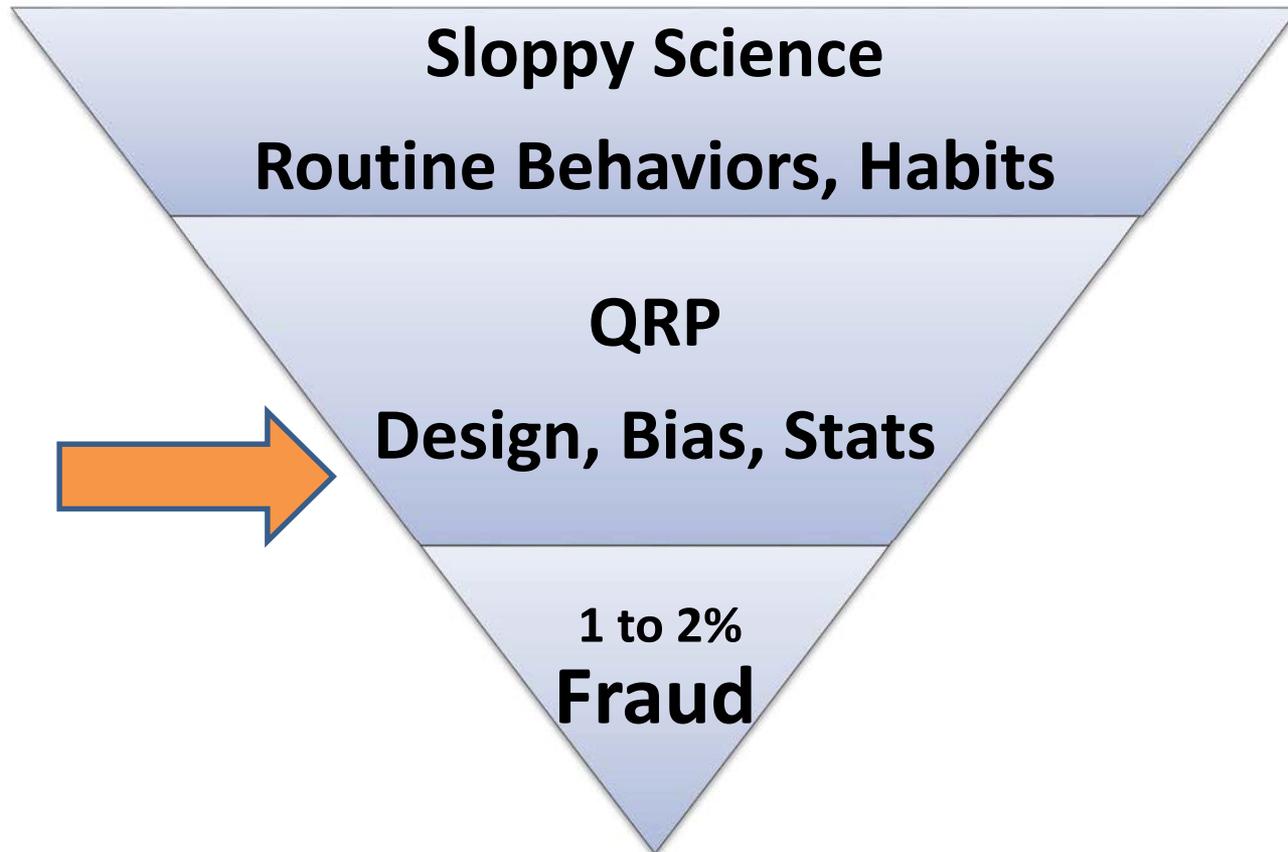
CMD # 16

LABORATORY  
NOTEBOOK

Show me the data

MICHAEL P. MURTAUGH LABORATORIES

# Threats to sound science



**Quality Assurance Principles**

# Research Credibility/Research Wastage



Many landmark findings in preclinical oncology research are not reproducible, in part because of inadequate cell lines and animal models.

## Raise standards for preclinical cancer research

C. Glenn Begley and Lee M. Ellis propose how methods, publications and incentives must change if patients are to benefit.

Efforts over the past decade to improve reproducibility in oncology have the highest failure rate. Investigators must reassess their approach to preclinical research. Success has been remarkably low. Sadly, clinical success rate is not sustainable or acceptable, and mouse models make it difficult for even

# 11% Reproducibility

29 MARCH 2012 | VOL 483 | NATURE | 531  
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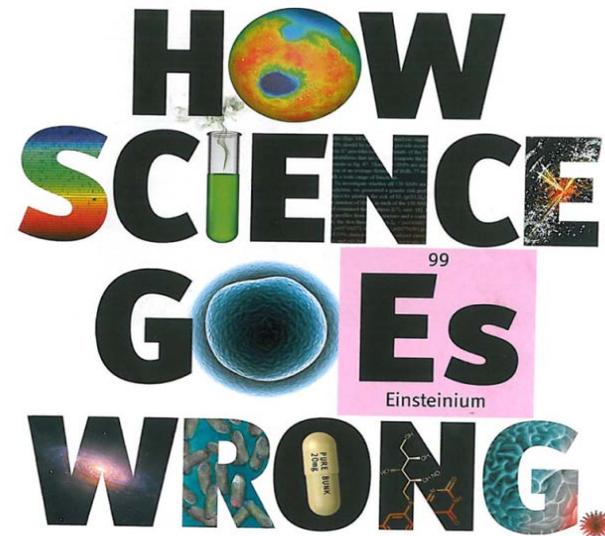
Essay

## Why Most Published Research Findings Are False

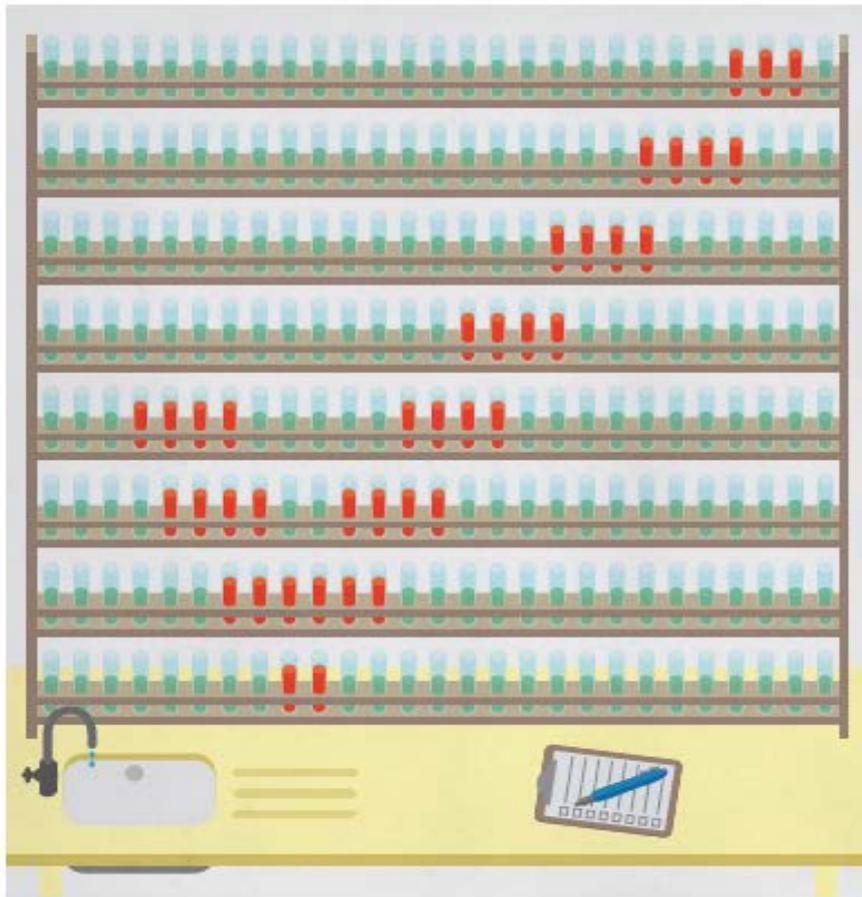
John P.A. Ioannidis

*PloS Medicine* 2005

doi:10.1371/journal.pmed.0020124



October 2013



## NIH plans to enhance reproducibility

Francis S. Collins and Lawrence A. Tabak discuss initiatives that the US National Institutes of Health is exploring to restore the self-correcting nature of preclinical research.

Nature | Comment

Policy: NIH plans to enhance reproducibility

[Francis S. Collins](#) & [Lawrence A. Tabak](#)

27 January 2014

Discuss initiatives that the US National Institutes of Health is exploring to *restore the self-correcting nature of preclinical research.*

“Efforts by the NIH alone will not be sufficient to effect real change in this unhealthy environment.”



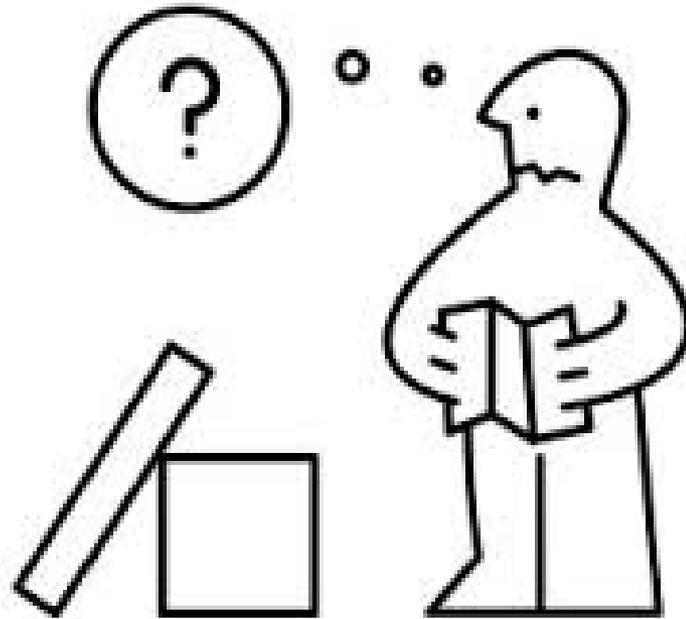
**National Institutes of Health**

*Turning Discovery Into Health*

## Updated Application Instructions to Enhance Rigor and Reproducibility

- ✓ Scientific Premise of Proposed Research
- ✓ Rigorous Experimental Design
- ✓ Consideration of Sex and Other Relevant Biological Variables
- ✓ Authentication of Key Biological and/or Chemical Resources.

# Data issues are bigger than QA



Effective Data Reconstruction/Reproducibility requires more than QA

# Show us the data



# The DATA DILEMMA

“... witnessing a dramatic shift in our relationship with data:

where researchers once managed discrete, controllable building blocks of knowledge, they must now contend with a tsunami of information that paradoxically *feeds the growing scientific output while simultaneously crushing researchers with its weight*”

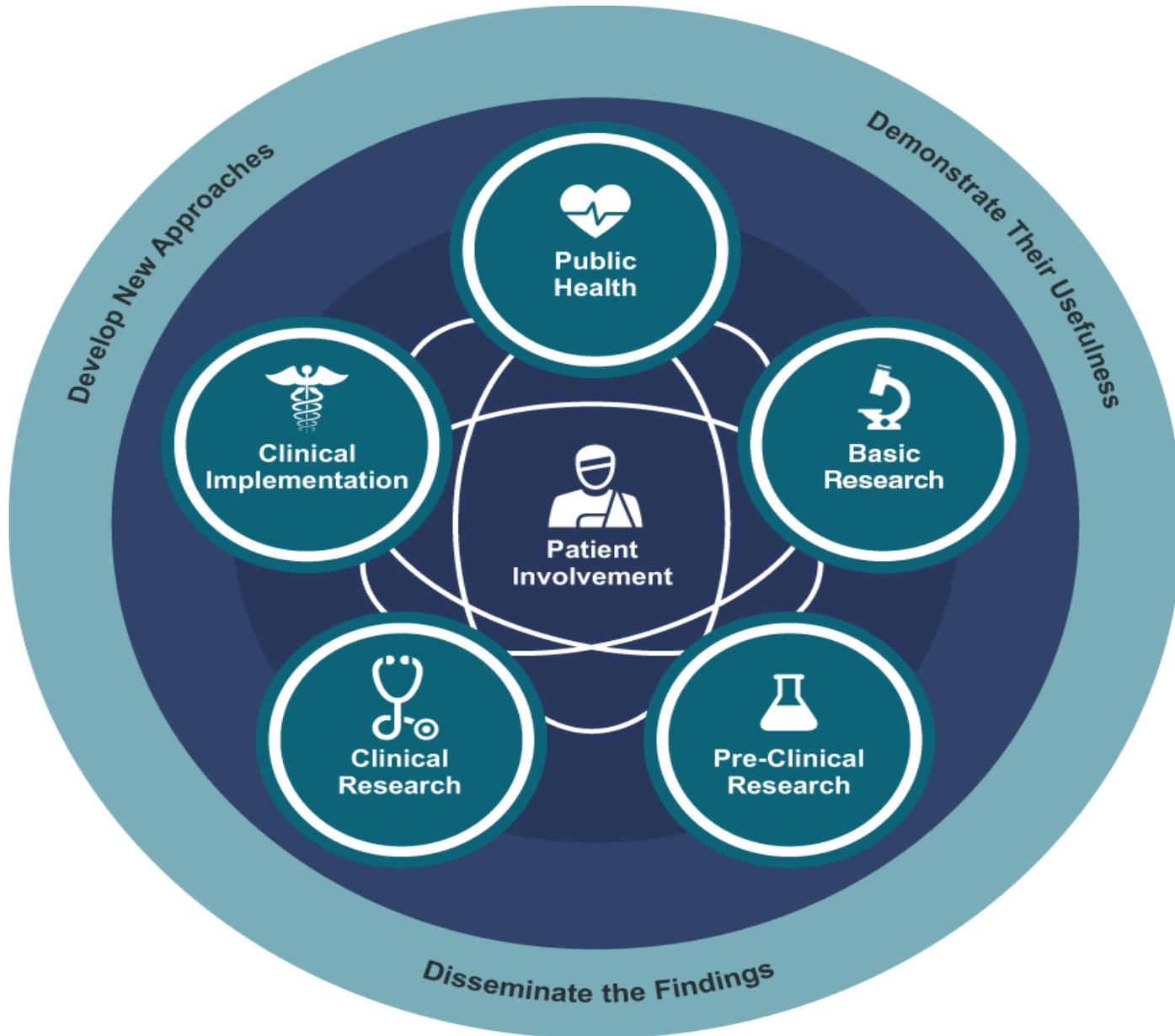
Haendel MA et al. 2012; Dealing with Data: A Case Study on Information and Data Management Literacy. PLoS Biol 10(5).e:1001339

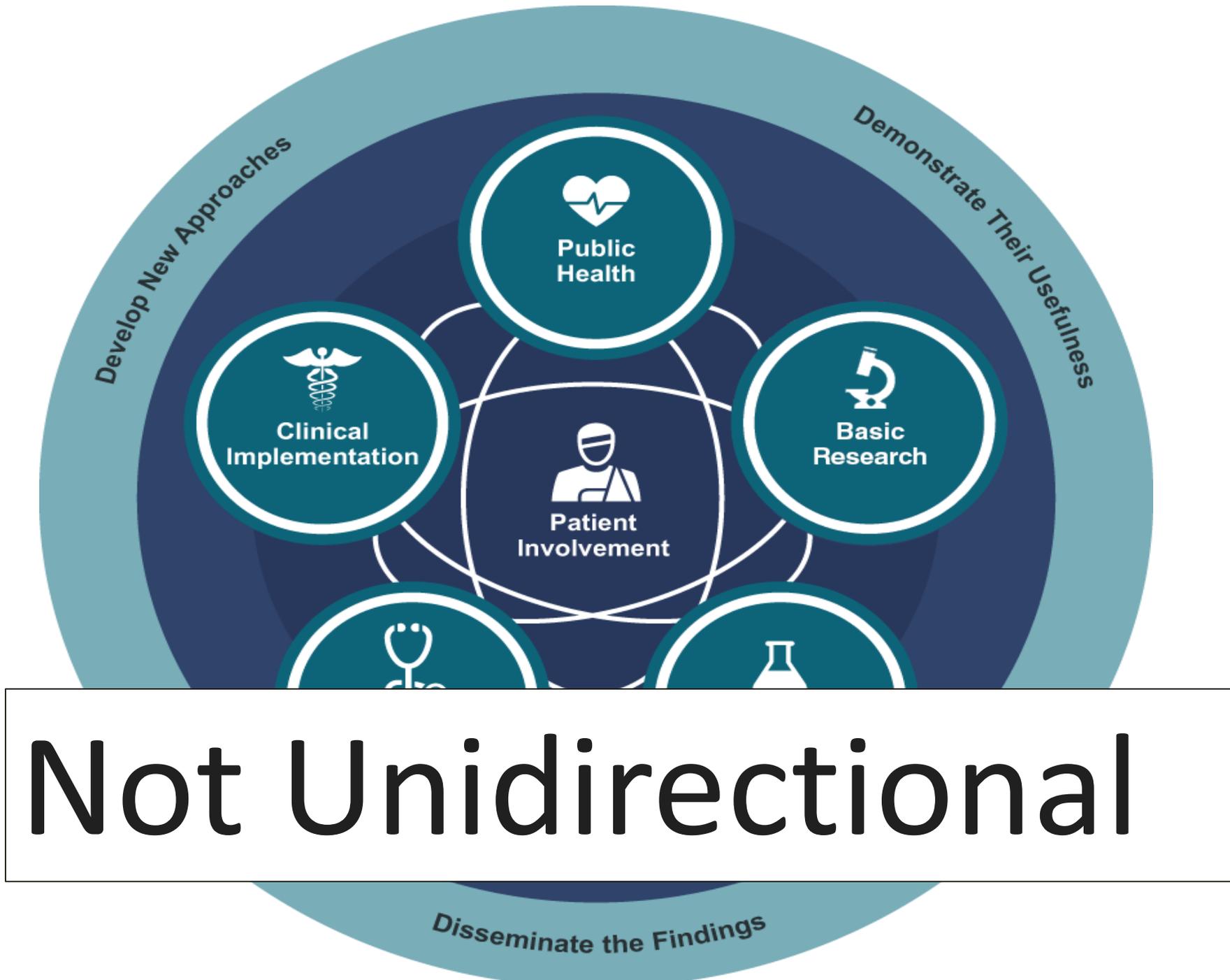
# BIG Data

**‘every scientist needs to understand how to manage, navigate, and curate huge amounts of data’.**

Haendel MA et al. 2012; PLoS Biol 10(5).e:1001339

# Translational Science





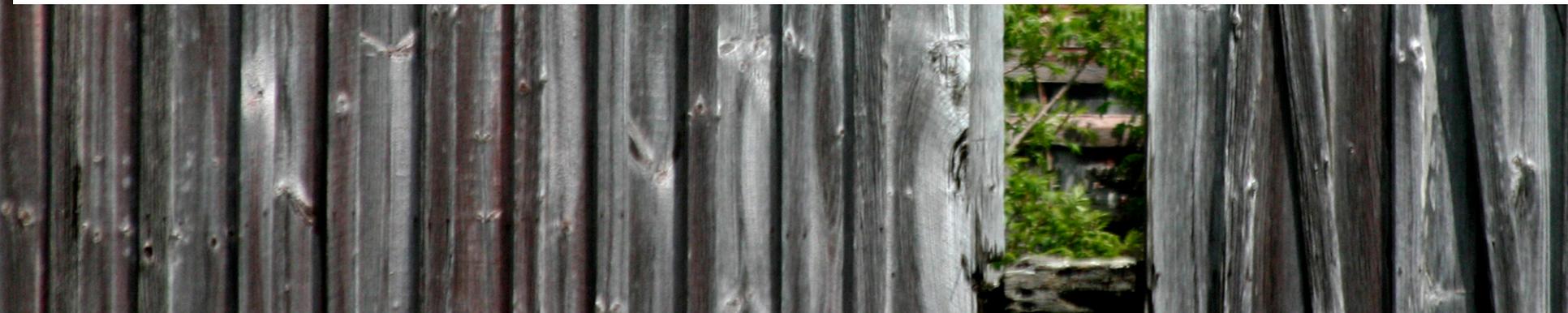
**Not Unidirectional**

That's a LOT of data

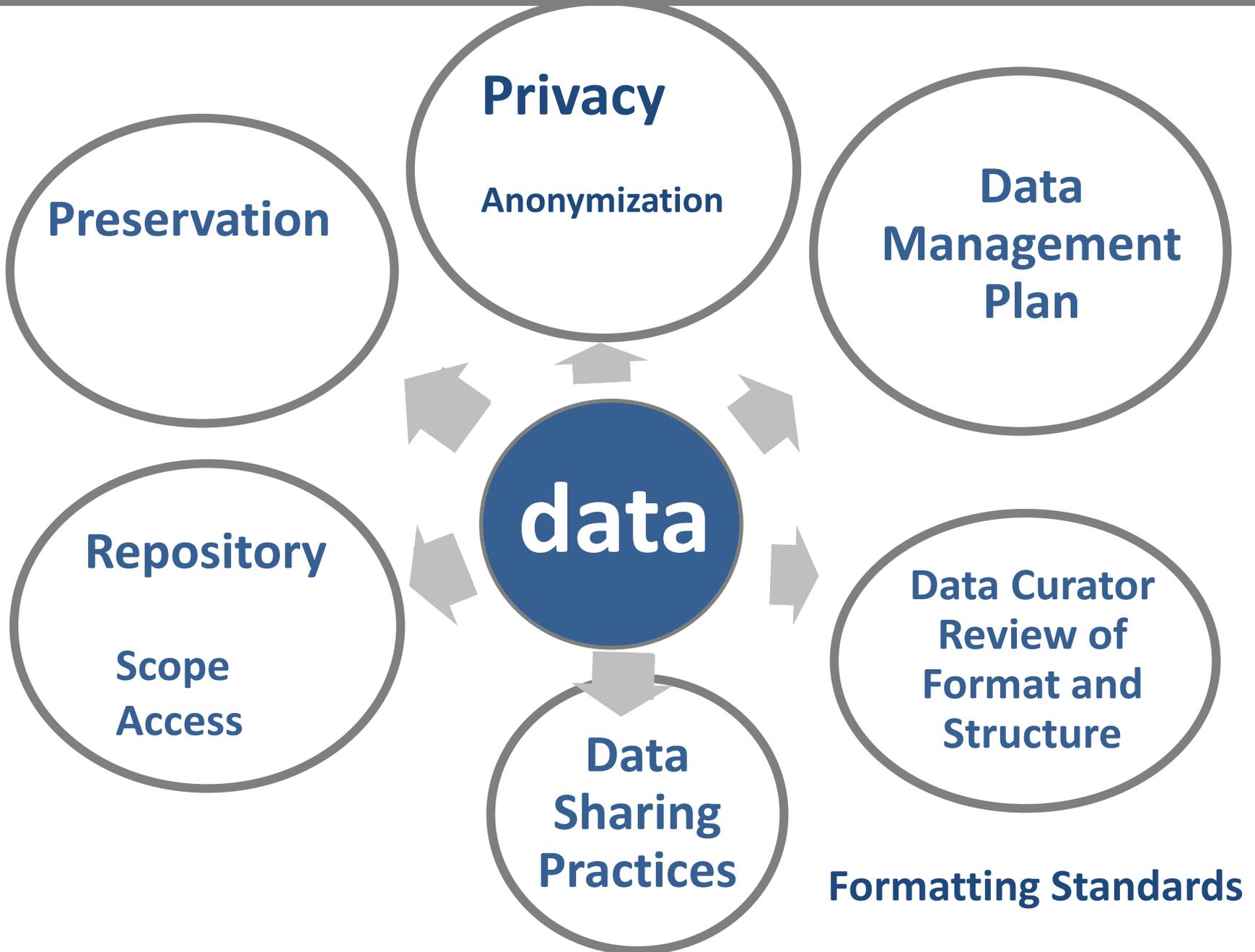
KEEP  
CALM  
AND  
ALL HANDS  
ON DECK

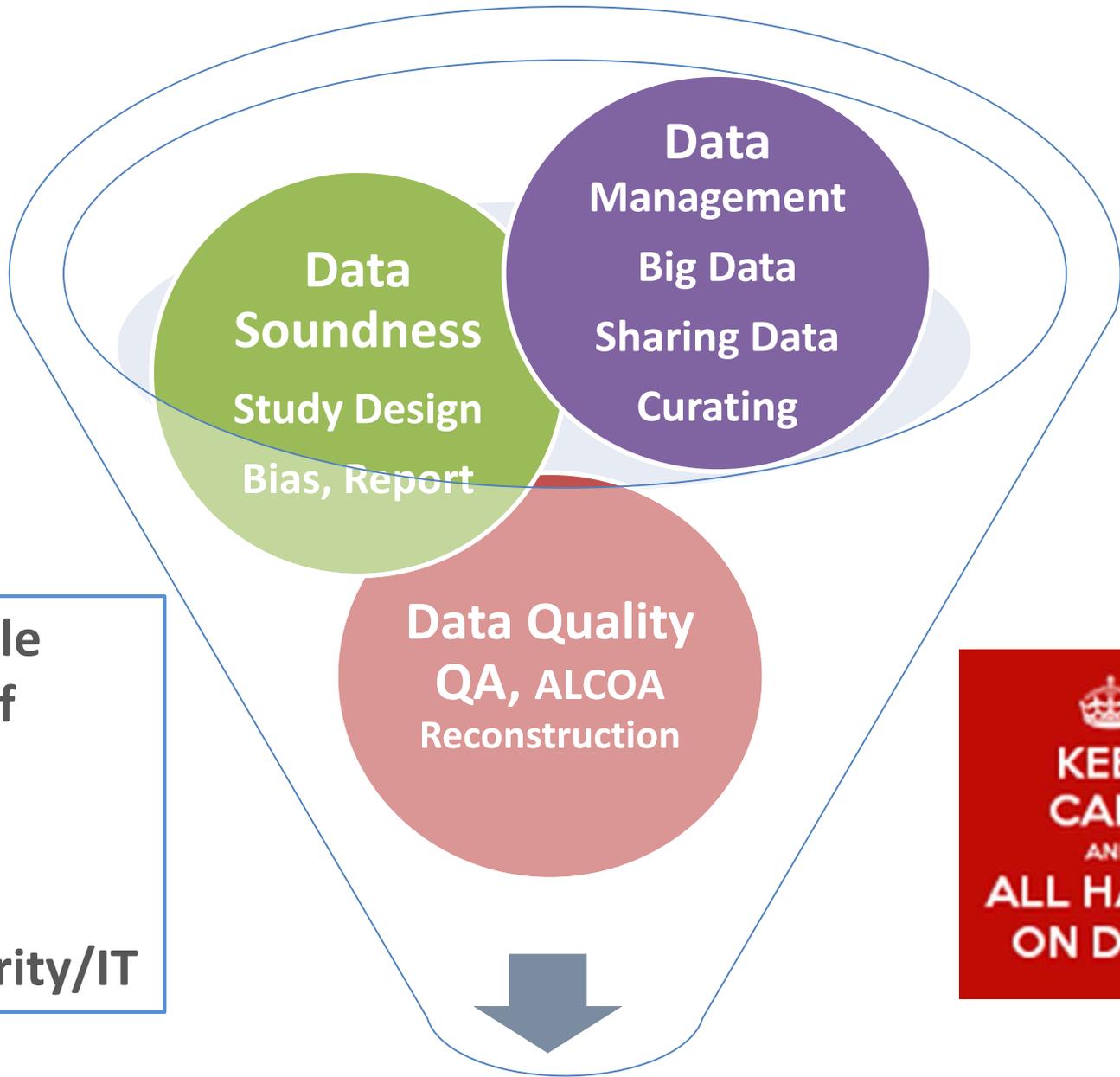


Strategies are needed to fill the  
data literacy gaps



# Critical Data Management Resources





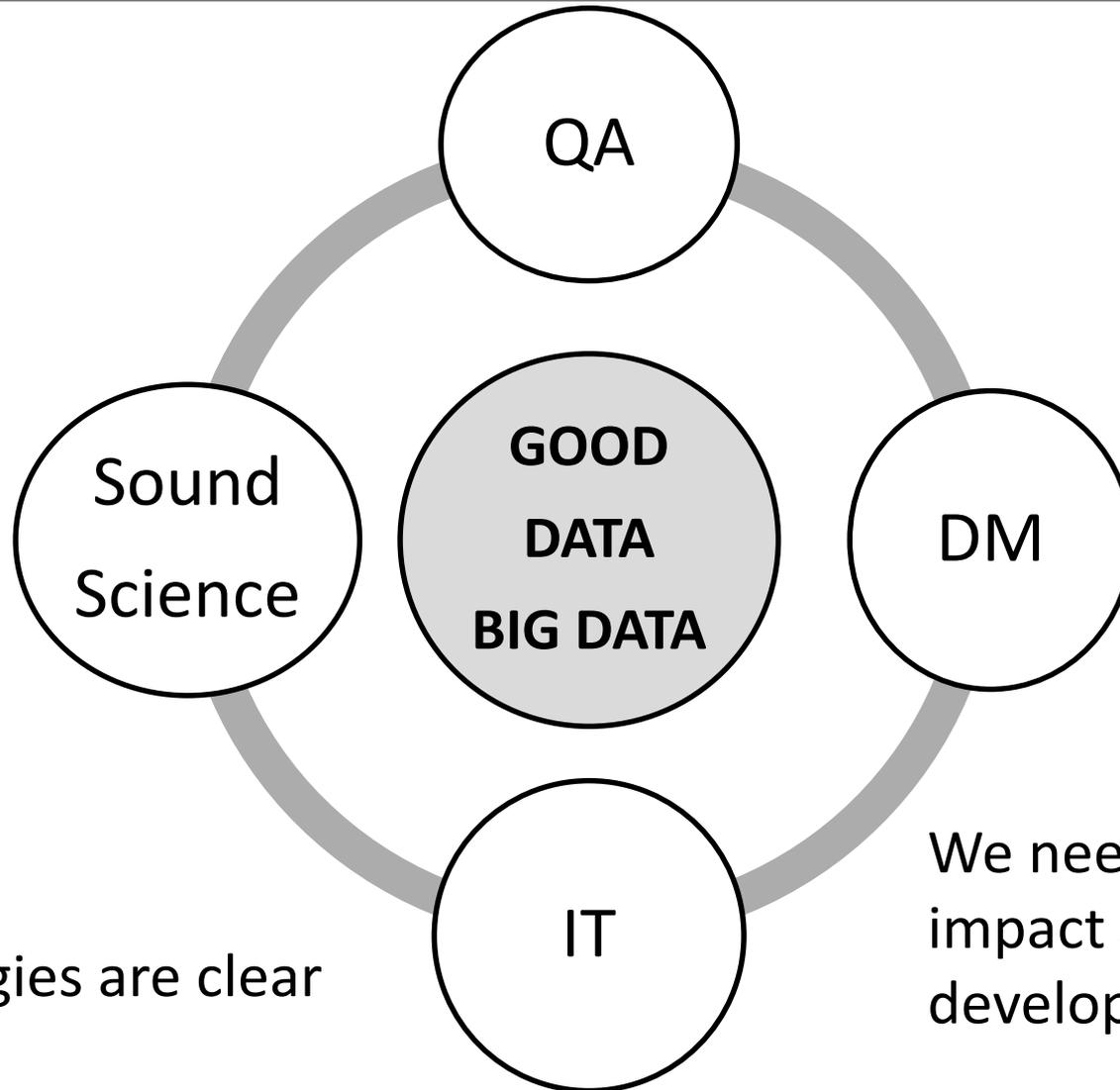
**Responsible  
Conduct of  
Research  
[Ethics]**

**Data Security/IT**



**Reproducible Research Inference/Outcome**

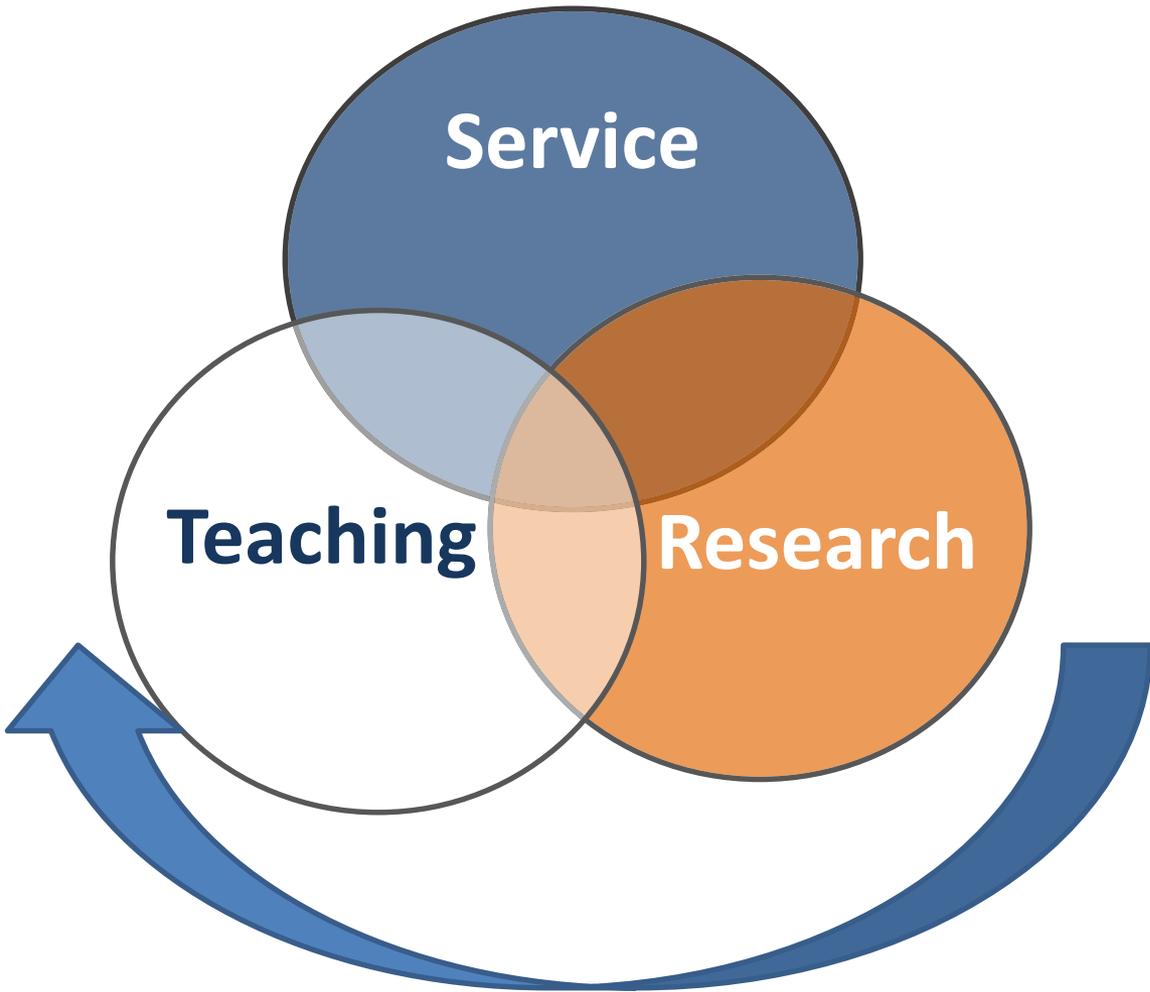
# It is going to 'take a village'



The synergies are clear

We need to evaluate  
impact as we  
develop

# A mission -based approach to data quality, management and literacy



**Integrate Data Support  
within all missions.**

**Promote scientific  
excellence**

**Improve data  
reproducibility**

**Support our scientists**

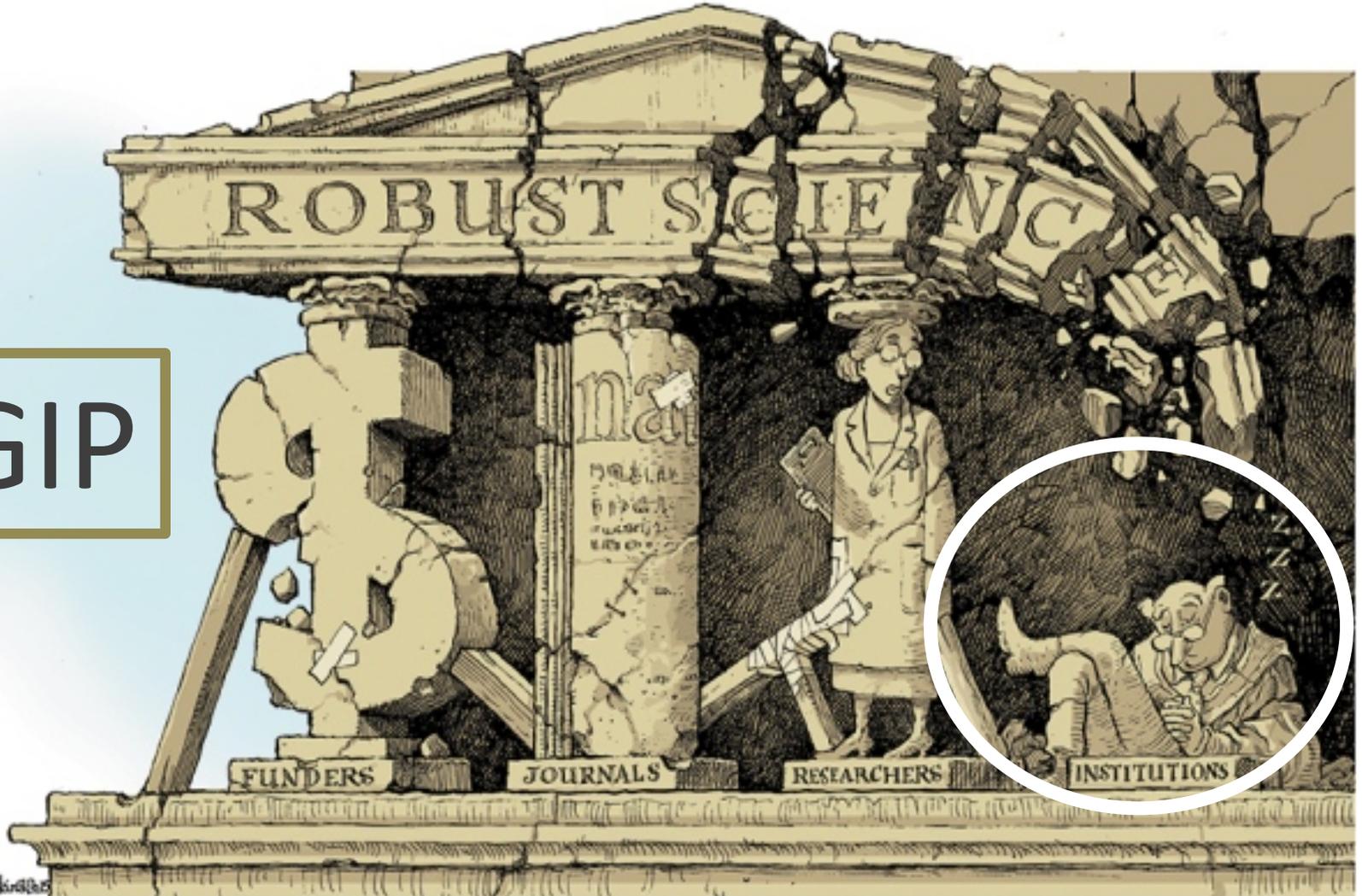
**Train research  
scientists**

# Robust research: Institutions must do their part for reproducibility

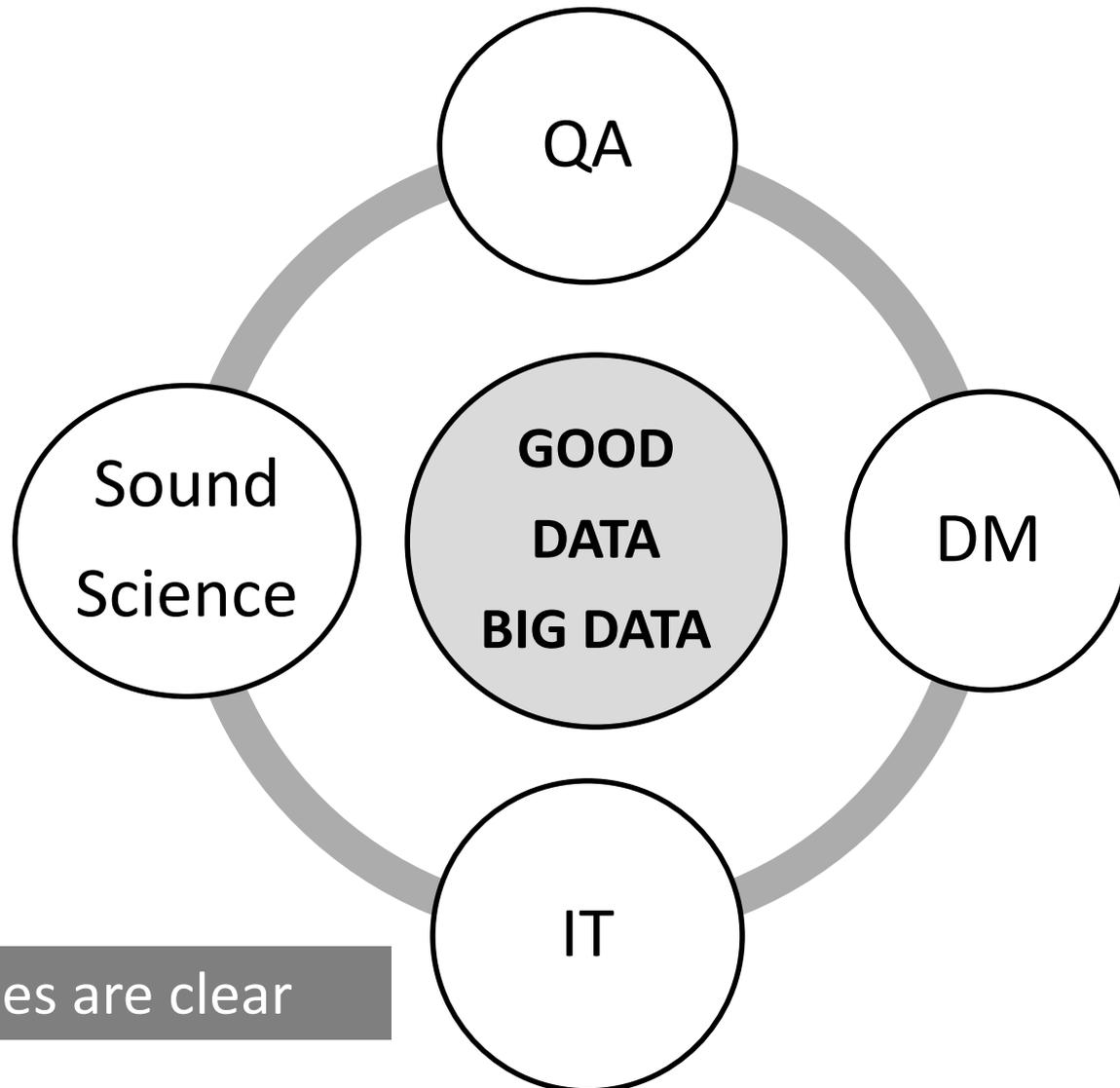
[C. Glenn Begley](#), [Alastair M. Buchan](#) & [Ulrich Dirnagl](#)

Nature | Comment 01 Sep 2015

GIP

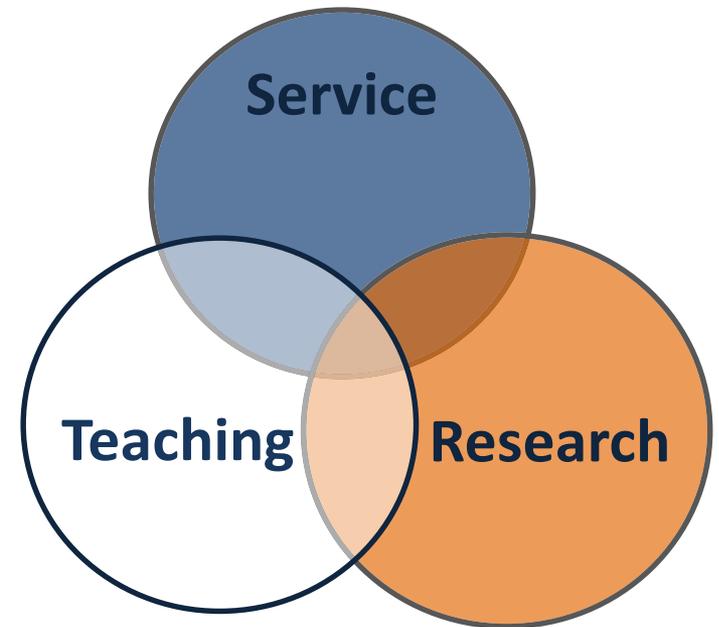
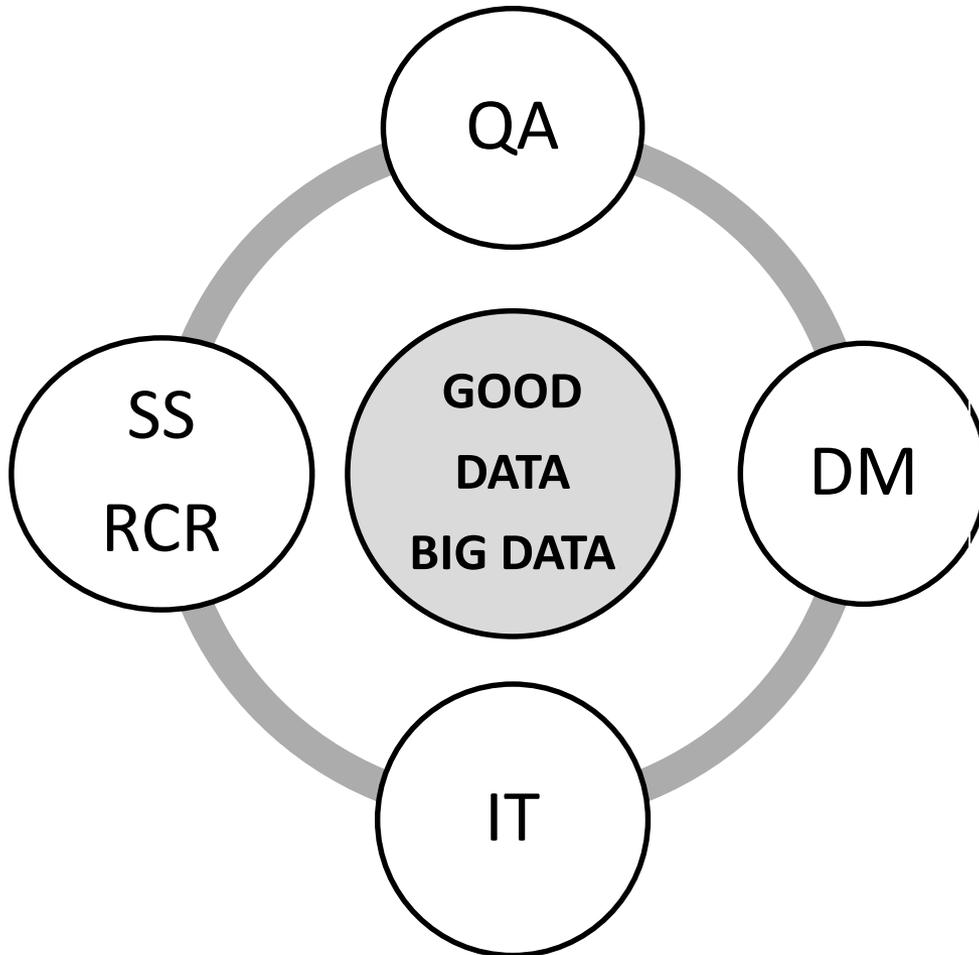


# What might coordination look like?



The synergies are clear

# Strategic, Sustainable, Coordinated



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Coordinated, Collaborative Approach to the Data

Dilemma: It is going to 'take a village'

**Conclusions**





Strategic initiatives are underway: funding agencies, scientific publishers and research institutions are engaging:

We need **scientists** to lead this narrative [**with institutional support**] and provide voluntary and effective solutions to closing the data quality and literacy gap.



Scientists must stand up for  
the quality of **their** data

# Acknowledgements



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